BORA LASKIN LAW LIBRARY
3 1761 03332 8246

FINANCING THE SMALL FIRM

Professor Jeffrey G. MacIntosh

VOLUME 4

SPRING 1998

FOR THE CLASSROOM USE OF
UNIVERSITY OF TORONTO
STUDENTS ONLY

Storage

BORA LASKIN LAW LIBRARY
FEB 26 1998
FACULTY OF LAW
UNIVERSITY OF TORONTO

FINANCING THE SMALL FIRM

Professor Jeffrey G. MacIntosh

VOLUME 4

SPRING 1998

FOR THE CLASSROOM USE OF
UNIVERSITY OF TORONTO
STUDENTS ONLY

Digitized by the Internet Archive
in 2018 with funding from
University of Toronto

https://archive.org/details/financingsmallfi04maci_0

FINANCING THE SMALL FIRM

VOLUME 4
Spring 1998

Professor Jeffrey G. MacIntosh

TABLE OF CONTENTS

FEBRUARY 25, 1998

VENTURE CAPITAL: AGENCY ISSUES

William A. Sahlman, "The structure and governance of venture-capital organizations" (1990) 27 J. Fin. Econ. 473

MARCH 4, 1998

INITIAL PUBLIC OFFERINGS: PRACTICAL CONSIDERATIONS

SPECIAL GUEST STAR: JOHN DOUGLAS (SPROTT SECURITIES LIMITED)

The IPO Process, Goodman & Goodman, December 1994

(Other material to be distributed in class)

March 11, 1998

THE ROLE OF THE STOCK EXCHANGES

Ernst and Young, "Comparison of Certain Listing Requirements for Industrial Issues on Major Canadian Stock Exchanges"

Elizabeth Maynes, "Evidence on the Value of a Stock Exchange Listing" (1991), 8 Can. Journal of Admin. Sciences 179

John Affleck-Graves, Shantaram P. Hegde, Robert E. Miller, Frank K. Reilly, "The effect of the trading system on the underpricing of initial public offerings" (1993), 22 Fin. Mgmt. 99

Graham Bannock & Partners Ltd., European Second-Tier Markets for NTBFs (London: Graham Bannock & Partners, 1994) [note: "NTBF" means new technology based firms], pp. iii-vii, 6-10

Erik J. Fish, "The Canadian Dealer Network" (Osgoode Hall LL.M program)

March 18, 1998

IPOs, MANDATORY DISCLOSURE, AND NEGLECTED FIRMS

Gregg A. Jarrell, "The Economic Effects of Federal Regulation of the Market for New Security Issues" (1981), 24 J. Law & Econ. 613

Carol J. Simon, "The Effect of the 1933 Securities Act on Investor Information and the Performance of New Issues" (1989), 79 Amer. Econ. Rev. 295

Avner Arbel, Steven Carvell and Paul Strebel, "Giraffes, Institutions and Neglected Firms" (1983), 39 Fin. Analysts J. 57

Avner Arbel, "Generic stocks: An old Product in a new package" (1985) 11 J. Portfolio Man.4

MARCH 25, 1998

BANKS AND HIGH TECHNOLOGY ENTERPRISE

GUEST STAR: PAUL GREB, ROYAL BANK OF CANADA

Paul Toriel, Financing the New Economy: Towards a Positive Conspiracy

APRIL 1, 1998

THE ONTARIO SECURITIES COMMISSION TASK FORCE REPORT ON SMALL BUSINESS FINANCING

Final Report of the Ontario Securities Commission Task Force on Small Business Financing (OSC: Toronto, June 1996)